

Electronic Filing System (EFS) Data
Electronic Patent Application Submission
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EFS ID:	11182
Application ID:	09681419
Title of Invention:	System and Method for Business Systems Transactions and Infrastructure Management
First Named Inventor:	Cody Menard
Domestic/Foreign Application:	Domestic Application
Filing Date:	null
Effective Receipt Date:	2001-03-30
Submission Type:	Utility Patent Filing
Filing Type:	new-utility
Confirmation Number:	0
Attorney Docket Number:	800571
Digital Certificate Holder:	cn=Douglas D. Russell, ou=Registered Attorneys, ou=Patent and Trademark Office, ou=Department of Commerce, o=U.S. Government, c=US
Certificate Message Digest:	eHheJg2zZKUvGX8VoDFmsQ==
Total Fees Authorized:	\$588.0
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Credit Card Number:	*****1018
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RAM Payment Status:	RAM success
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TRANSMITTAL FORM

Jc474 U.S. PTO
09/681419
03/30/01

Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: 800571

System and Method for Business Systems Transactions and Infrastructure Management

First Named Inventor: Cody Menard

SUBMITTED BY

Name: Mr. Douglas D. Russell
Registration Number: 40152
Electronic Signature Mark: Douglas
D. Russell Date Signed: 20010330

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I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

specification	SPECIFICATION.xml
declaration	Declaration Page 1 of 2.tif
declaration	Declaration Page 1 of 2.tif
declaration	Declaration Page 1 of 2.tif
fee-transmittal	Submissionsfee.xml

Submissionsapds.xml
Submissionsasgn.xml

Declaration Supp.tif

Comments:

[illegible]

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PTO/SB/01 (10-00)

Approved for use through 10/31/2002. CMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	800571
	First Named Inventor	Menard, Cody
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

System and Method for Business Systems Transactions and Infrastructure Management

the specification of which

(Title of the invention)

☒ is attached hereto
OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, and foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patent, Washington, DC 20231.

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DECLARATION – Utility or Design Patent Application

Direct all Correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label				23372		OR <input type="checkbox"/> Correspondence address below	
Name Douglas D. Russell							
Address 4807 Spicewood Springs Road							
Address Building One, Suite 1200							
City Austin				State Texas		Zip 78759	
Country US			Telephone 512-338-4601			Fax 512-338-4651	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any)) Cody				Family Name or Surname Menard			
Inventor's Signature <i>Cody Menard</i>						Date 3-22-01	
Residence: City Houston			State TX		Country US		United States of America Citizenship
Mailing Address 802 Ivory Ridge Lane							
Mailing Address							
City Houston			State TX		Zip 77094		Country US
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any)) Raghavendra K.				Family Name or Surname Murthy			
Inventor's Signature <i>Raghavendra K. Murthy</i>						Date 3-22-01	
Residence: City Austin			State TX		Country US		United States of America Citizenship
Mailing Address 2812 Lantana Ridge Drive							
Mailing Address							
City Austin			State TX		Zip 78732		Country US
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.							

[Page 2 of 2]

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PTO/SB/02A (11-00)

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u>
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Brian		Wolfe	
Inventor's Signature		Date <u>3-22-01</u>	
Residence: City Austin	State TX	Country US	United States of America Citizenship
Mailing Address 13545 Ana Rosa Loop			
Mailing Address			
City Austin	State TX	ZIP 78727	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Small Entity

Small Business Concern

TOTAL FEES AUTHORIZED: \$ 588

BANK (CREDIT) CARD INFORMATION:

Credit Card Number: 1018
Expiration Date: 20020630
Authorized Name: Douglas D. Russell
Billing Address: 78759

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	201	\$ 355

Subtotal For Basic Filing Fee: \$ 35

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 37	203	\$ 9	17	\$ 153
Independent Claims: 4	202	\$ 40	1	\$ 40

Subtotal For Extra Claims Fees: \$ 19

ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

<p>● 1997年12月1日，中国第一家民营银行——浙江泰隆商业银行在台州成立。</p> <p>● 1997年12月15日，中国第一家民营证券公司——浙江证券在台州成立。</p> <p>● 1997年12月22日，中国第一家民营保险公司——浙江泰隆保险在台州成立。</p> <p>● 1997年12月28日，中国第一家民营期货经纪公司——浙江泰隆期货在台州成立。</p> <p>● 1997年12月31日，中国第一家民营信托投资公司——浙江泰隆信托在台州成立。</p> <p>● 1998年1月1日，中国第一家民营银行——浙江泰隆商业银行在台州成立。</p> <p>● 1998年1月15日，中国第一家民营证券公司——浙江证券在台州成立。</p> <p>● 1998年1月22日，中国第一家民营保险公司——浙江泰隆保险在台州成立。</p> <p>● 1998年1月28日，中国第一家民营期货经纪公司——浙江泰隆期货在台州成立。</p> <p>● 1998年1月31日，中国第一家民营信托投资公司——浙江泰隆信托在台州成立。</p>	<p>● 1997年12月1日，中国第一家民营银行——浙江泰隆商业银行在台州成立。</p> <p>● 1997年12月15日，中国第一家民营证券公司——浙江证券在台州成立。</p> <p>● 1997年12月22日，中国第一家民营保险公司——浙江泰隆保险在台州成立。</p> <p>● 1997年12月28日，中国第一家民营期货经纪公司——浙江泰隆期货在台州成立。</p> <p>● 1997年12月31日，中国第一家民营信托投资公司——浙江泰隆信托在台州成立。</p> <p>● 1998年1月1日，中国第一家民营银行——浙江泰隆商业银行在台州成立。</p> <p>● 1998年1月15日，中国第一家民营证券公司——浙江证券在台州成立。</p> <p>● 1998年1月22日，中国第一家民营保险公司——浙江泰隆保险在台州成立。</p> <p>● 1998年1月28日，中国第一家民营期货经纪公司——浙江泰隆期货在台州成立。</p> <p>● 1998年1月31日，中国第一家民营信托投资公司——浙江泰隆信托在台州成立。</p>
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EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 37	203	\$ 9	17	\$ 153
Independent Claims: 4	202	\$ 40	1	\$ 40

Subtotal For Extra Claims Fees: \$ 193

ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

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